

# (Bi-)Spectral Rendering in Practice

Alexander Wilkie  
Charles University in Prague



Andrea Weidlich  
RTT AG

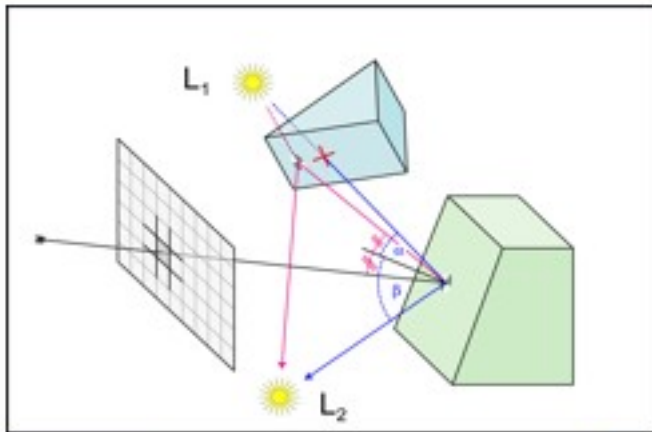


## Overview

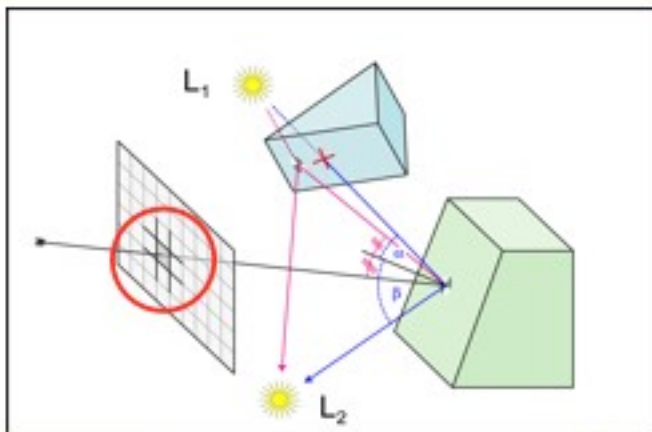
- Introduction
- Physics Background
- Why and Where We Need Spectral Rendering
- Acquiring Spectra and Colour Values
- Options for Describing Spectra
- Practical Aspects of Building and Debugging a Bi-spectral Hybrid Colourspace / Spectral Rendering System

## Motivation

## What do we compute during rendering?



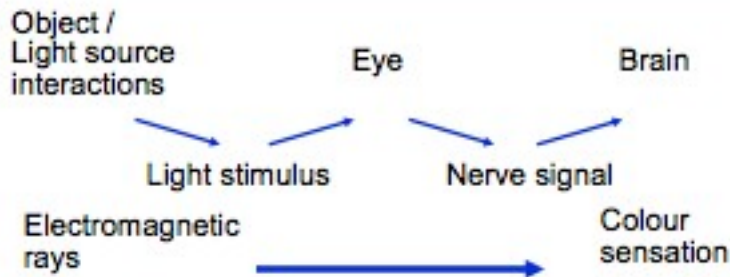
## What do we compute during rendering?



## What do we compute during rendering?

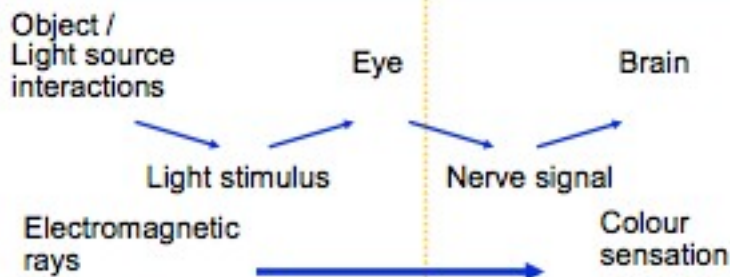
- What is the goal of image synthesis?
  - Computation of (realistic) images
- These are of course intended to be viewed
  - Practically all display devices take colour values as input
- So the desired end result of rendering computations are usually colour values!
  - But this does not necessarily mean that the calculations that lead to these colour values also need to be done using colours!

# Colour - A Visual Sensation



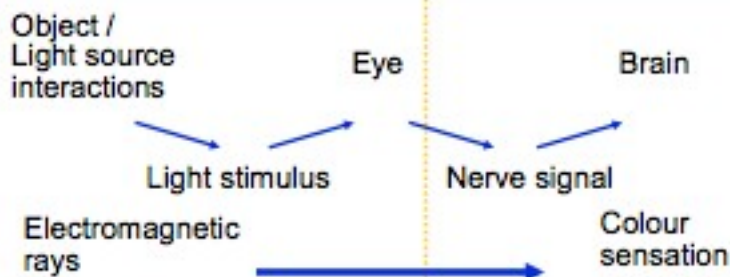
Rendering *computations* take place on the top left, but the display stage deals with colour values

# Colour - A Visual Sensation



Rendering *computations* take place on the top left, but the display stage deals with colour values

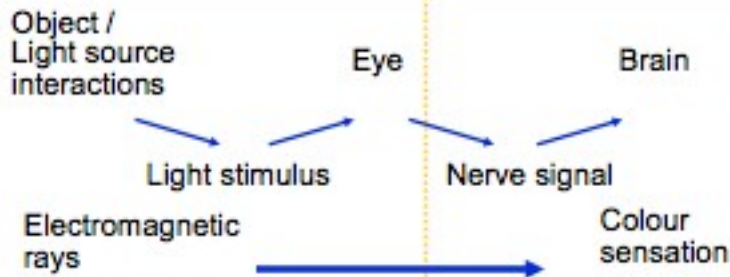
# Colour - A Visual Sensation



*Realm of direct observables*

Rendering *computations* take place on the top left, but the display stage deals with colour values

# Colour - A Visual Sensation



Realm of direct observables

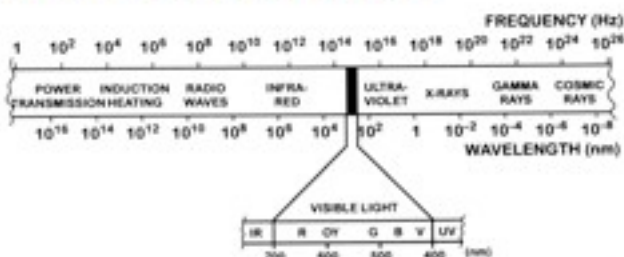
Realm of psychology

Rendering *computations* take place on the top left, but the display stage deals with colour values

# Physics Background

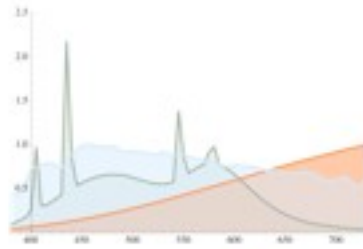
# Light – Basic Properties

- Visible light is electromagnetic radiation in a particular region of the entire spectrum
- Distinguishing criterion: its frequency



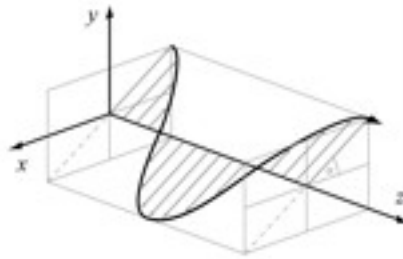
## Light – Spectrum

- Visible light is electromagnetic radiation in a particular region of the entire spectrum
- Normally, a ray of light contains many different waves with individual frequencies
- The associated distribution of wavelength intensities per wavelength is referred to as the spectrum of a given ray or lightsource

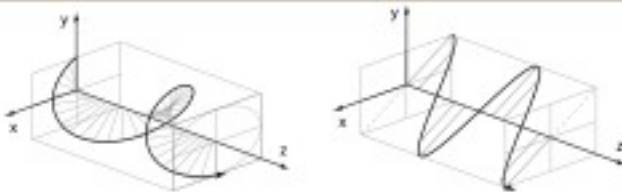


## Light - Polarisation

- Light is a transversal wave
- Its frequency alone is sometimes not sufficient to describe a given wave train
- For coherent beams of light, phase information has to be maintained
- This is referred to as the *polarisation state* of a light ray



## Waveforms vs. Perception



- Trace of the wave-vector for two photons
  - Individual photons / wave-trains are *always* polarised!
- These two photons have the same frequency
  - We perceive them as having the same colour!
- Only potential difference: interaction with objects

## What are we modelling in a renderer?

- Light and reflectance are usually treated alike
- But from a rendering engineering perspective, the following facts are noteworthy:
  - Emission spectra can be spiky (i.e. contain high frequency components)
    - Resolutions as fine as 1nm can be necessary
  - Reflectance spectra are usually smooth
    - 20nm resolution are common
  - Fluorescence requires bi-spectral data
  - Polarisation requires additional data that is orthogonal to per-wavelength sampling

## Emission Spectra: Blackbody, Excitation, LED

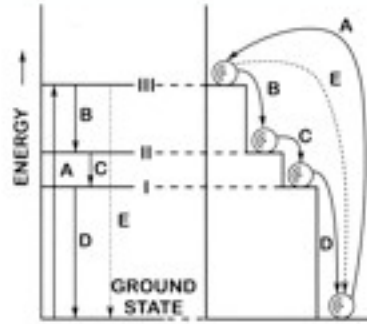
## Energy Levels & Visible Range

- A given light frequency corresponds to a fixed wavelength and energy level
- With organic sensors, „seeing“ is best done in the visible range of humans:
  - Higher → energy destroys molecules (UV)
  - Lower → focus & transparency problems (IR)

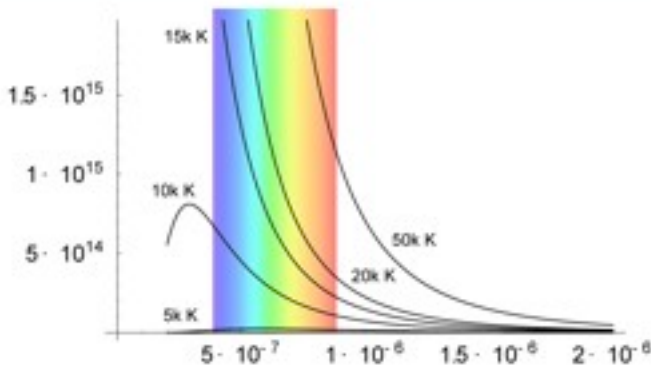


# Quantum Properties of Matter

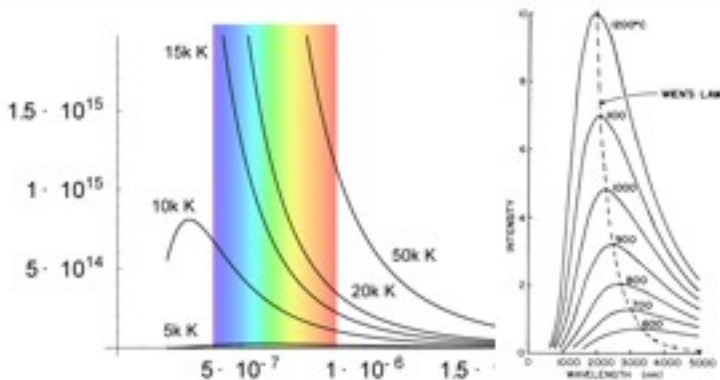
- Quantum systems such as molecules can only exist in certain discrete states
- Only certain transitions between these states are allowed
- The states directly correspond to energy levels, and to frequencies!



# Blackbody Radiation #3

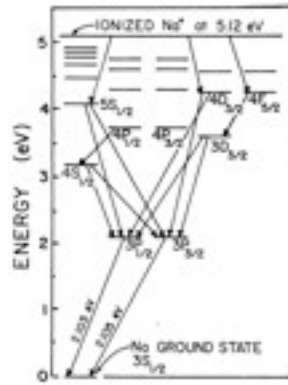


# Blackbody Radiation #3

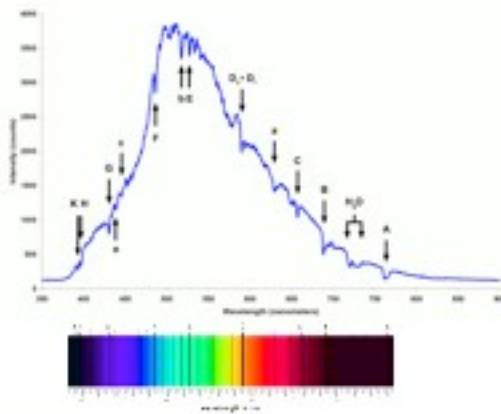


# Gas Excitation – Sodium

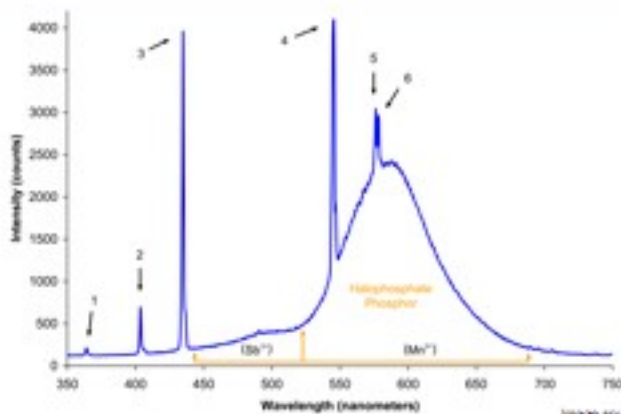
- Several different excitation paths exist
- The existence of the two „twin“ steps at the bottom is responsible for the double emission line spectrum of sodium lamps



# Fraunhofer Lines in Solar Spectrum



# Fluorescent Tube Spectrum





# Band Gap Emission - LED

- LEDs are semiconductor diodes with a band gap that causes a specific „tunnellino delta“ for electrons that cross it

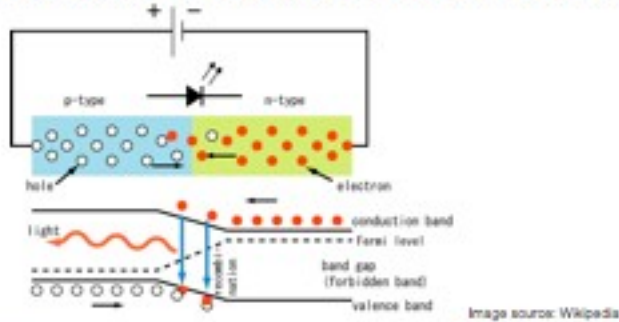


Image source: Wikipedia

# White LED Spectrum

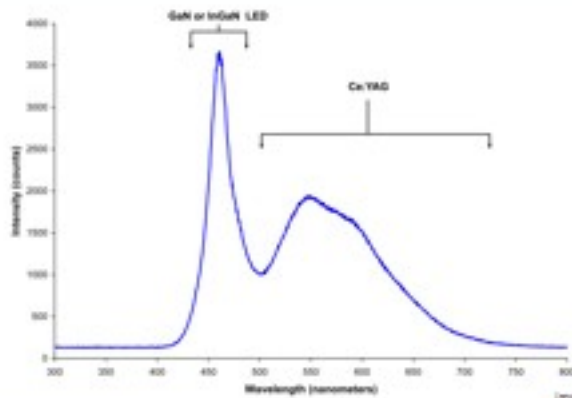
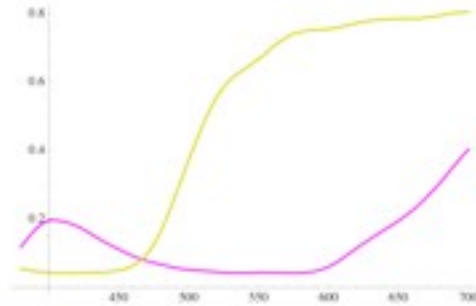


Image source: Wikipedia

# Reflectance Spectra: Normal & Bi-Spectral

## Organic Molecule Reflectance

- Smooth reflectance spectra
- Easy measurement, easy representation in a rendering system

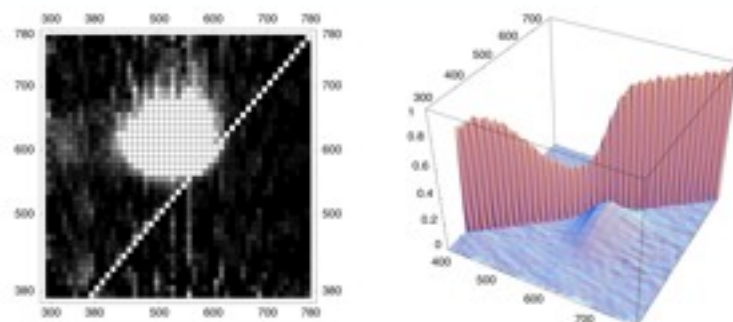


## Stokes Shift

- The phenomenon of light being re-emitted at a different frequency than the one at which it was absorbed is called *Stokes Shift*

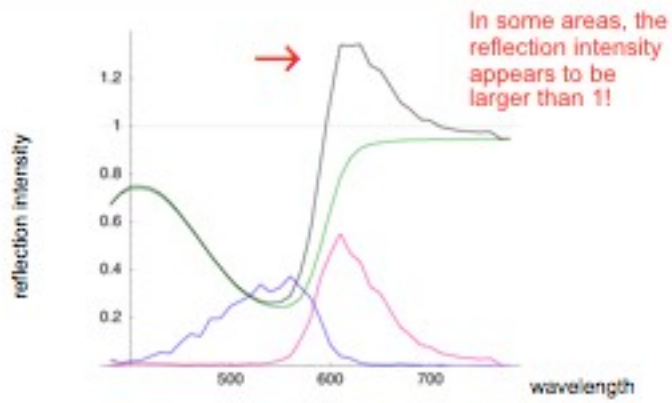


## Re-radiation Example



- Most common fluorescent pigments re-radiate at lower levels (here: pink 3M Post-It)

## Fluorescence: Energy Transfer



## Fluorescence: Real-World Example



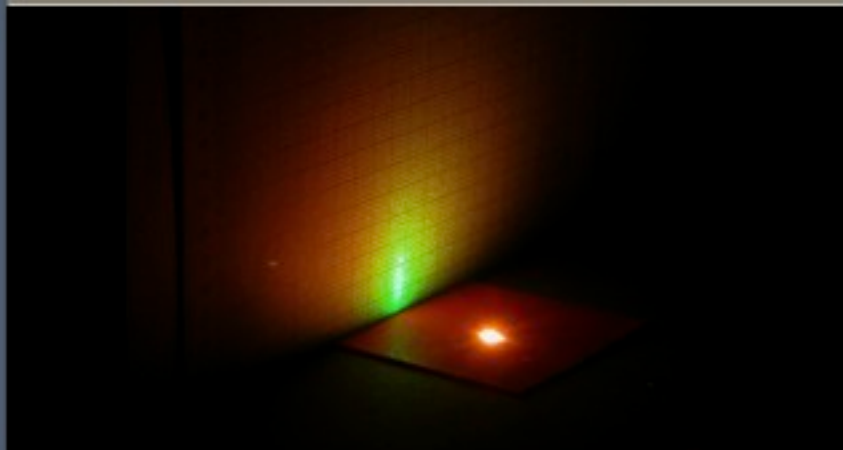
## Fluorescent Reflection Experiment



## Plain vs. Fluorescent Sample



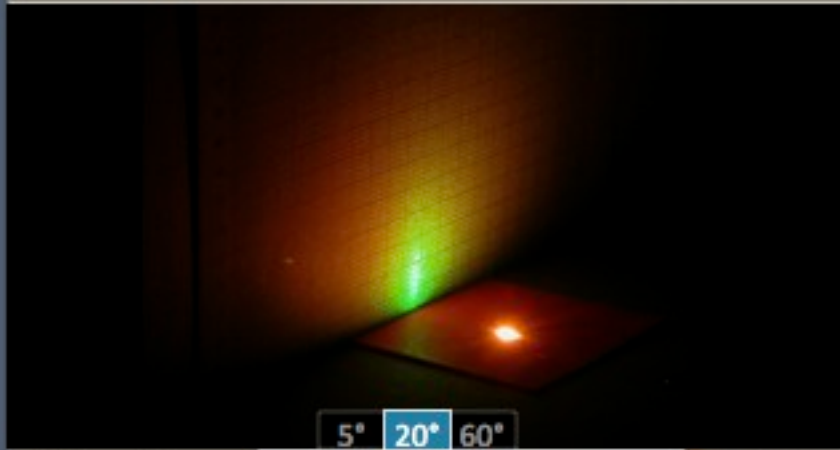
## Plain vs. Fluorescent Sample



## Fluorescent Sample @ 5°, 20°, 60°



## Fluorescent Sample @ 5°, 20°, 60°

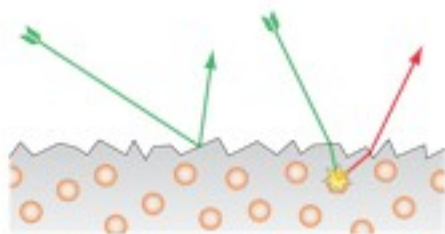


## Fluorescent Sample @ 5°, 20°, 60°



## Bi-Coloured Reflection Pattern

- Rays which are reflected by the substrate retain their colour
- Rays which interact with the colorant molecules undergo wavelength shift



## Why and Where We Need Spectral Rendering

## Shading Calculation Quantities

- Two main types of rendering engine exist:
- Conventional colour space based renderers
  - RGB space (majority)
  - CIE XYZ space
- Spectral Renderers
  - Very few products available
  - One example: Maxwell renderer
  - Few details of internal workings known

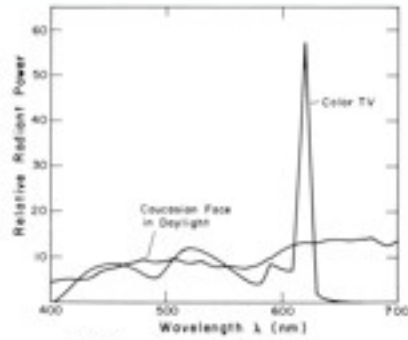
## Why Spectral Rendering?

- Effects that e.g. require spectral representation of light:
  - Metamerism
  - Volume absorption
  - Dispersion (prisms, rainbows)
  - Interference and diffraction
  - Fluorescent materials and light sources
- RGB insufficient to accurately reproduce such effects

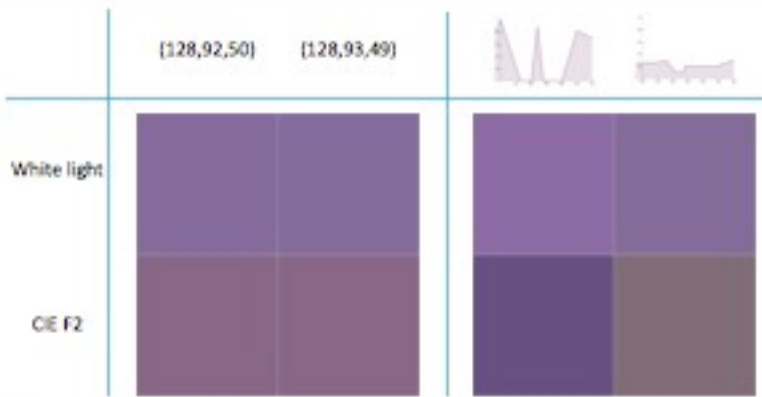


# Metamerism

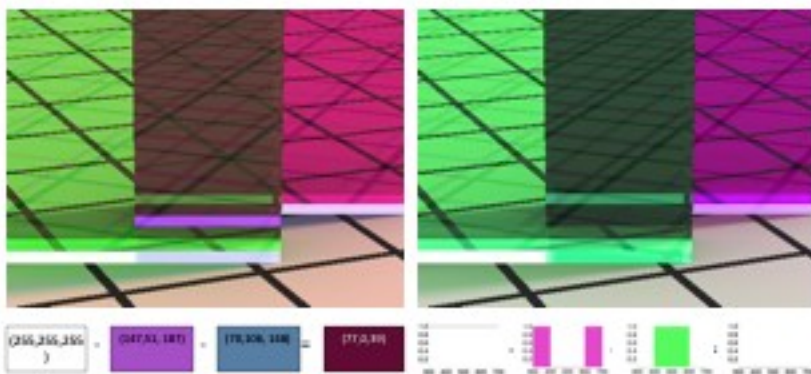
- Occurs frequently
- RGB monitors are a typical example
- One of the most interesting problems in the paint and pigment industry
- Makes prediction of object appearance by a colour space renderer impossible!



# RGB vs. Spectral: Metamerism



# RGB vs. Spectral: Absorption



## Spectral vs. RGB

- RGB Rendering
  - +Fast, widely supported
  - Limited accuracy (sharp spectra, different illuminants), some effects hard to support
- Spectral Rendering
  - +Accuracy, prediction of nature possible, can handle metamers and various optical effects
  - High cost, Aliasing, data mixing, input data, more complicated to write

## RGB (Tristimulus) Rendering

- Three wavelengths (corresponding to red, green, blue) define light source and material properties
- Process 3 samples separately throughout rendering calculation
- Device (or at least RGB space) dependent
  - Larger RGB spaces better than smaller ones!
  - Pre-filtering desirable
- RGB representation not ideal

## CIE XYZ

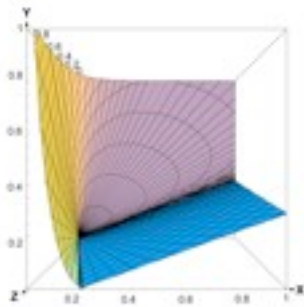
- A tri-stimulus colour system derived from RGB and based on imaginary primaries referred to as X, Y, Z  
1931
- All three are outside the human visual gamut
- Hence only positive XYZ values can occur
- Valid colours a subspace of the first octant - XYZ not closed under multiplication!





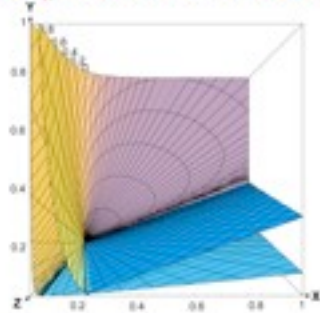
## Usefulness of XYZ in 3D Graphics

- Valid colours a subspace of the first octant
- XYZ not closed under multiplication!



## XYZ: Problem of Multiplication

- Component-wise XYZ multiplications can yield invalid results (positive, but outside subspace)



## CIE XYZ vs. RGB

- Problem does not exist for RGB spaces:
  - They occupy the entire first octant, are closed under multiplication
- RGB cannot represent all visible colours
  - But XYZ is no alternative due to the discussed problems!
  - Older literature still recommends using XYZ!
- Correct choice of RGB space can make a significant difference!

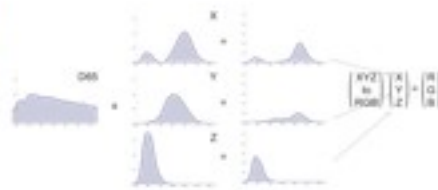
## Accurate RGB Rendering

- Observation of Greg Ward e.a. [Ward02]:
  - RGB rendering can be made fairly accurate!
- Key improvements:
  - Using large RGB spaces
  - Pre-filtering of reflectance for used illuminants
  - Direct lighting accurate, indirect light not!



## Spectral Rendering: Steps

- Get spectrum
- Prepare spectrum
- Process spectral samples separately throughout rendering calculation
- Convert spectrum to final display colour using CIE color matching functions and standard transformations



## Acquiring Spectra and Colour Values

## Measurements

- In this part of the course, we only talk about individual spectral measurements
  - Result: single emission or reflectance spectrum
- Measurements of entire BRDFs are an orthogonal problem
  - Conventional gonio-reflectometers need a spectroradiometer as one of their components
- BTF measurements would ideally also capture the reflection spectrum for each pixel of the surface that is being measured
  - Active research area

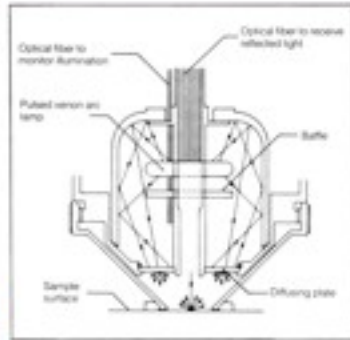
## Radiometry vs. Photometry

- **Radiometry** is the science and technology of the measurement of electromagnetic radiation over the whole spectrum of electromagnetic waves
- **Spectroradiometry** concerns itself with the spectral composition of electromagnetic radiation
- **Photometry** and spectrophotometry are the same in terms of the visible spectrum, and the average human observer

Side note:  
Chroma Meters  
*(increasingly common devices, but they  
are not what we need for rendering)*

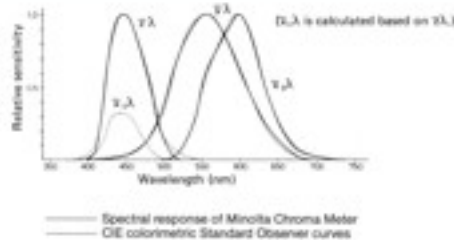
## Chroma Meters 1

- Principle: a diffusor spreads light over three photocells which are covered by CIE XYZ-related filters
- Available in self-illuminating and passive versions
- Only records colour values which are specific to a given illuminant
- → *Not useful for rendering purposes, except under very special circumstances!*



## Chroma Meters 2

- Cannot be used to predict appearance
- Still very useful for industrial quality control
- Good at finding colour discrepancies in production environments
- Much simpler and cheaper than spectrophotometers



## Minolta ChromaMeter

- Typical device for industrial use
- Principle unchanged over past decades
- New devices are just more user-friendly
- Separate data processor and measurement head
- Head can be used separately and/or connected to a computer



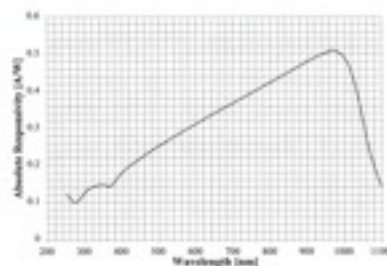
# Spectro-Radiometers

## Spectro-Radiometer Design

- Two (three) key components are needed:
  - A reliable broadband sensor for light with a known spectral response curve
  - A reliably controllable monochromator to scan the entire visible spectrum
  - (only for fluorescent reflectance measurements: tuneable monochrome lightsource)
- Additionally, provisions for repeatable and controlled specimen mounting and illumination have to be made

## Silicone Photodiodes

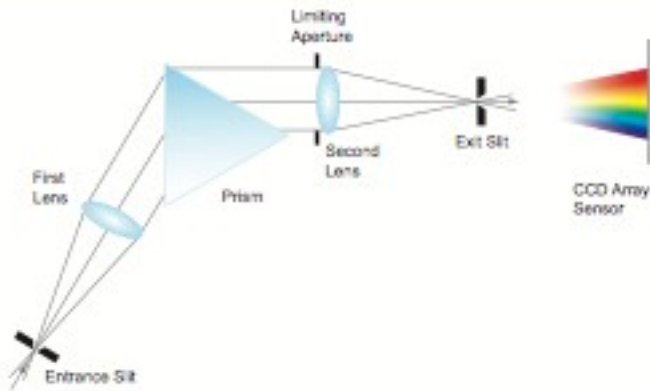
- The light-sensitive elements in most radiometric and photometric instruments are silicon photodiodes
- These devices yield a wavelength-dependent current per radiant energy that hits them
- Used as absolute detector for monochrome I.



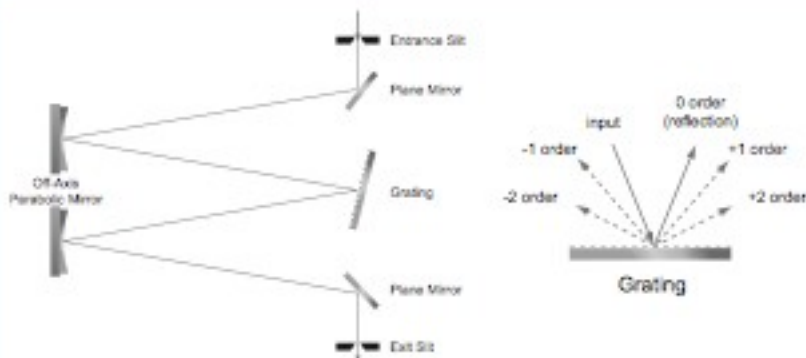
# Monochromators

- Main sources of monochrome light and/or wavelength selection:
  - Filters – inflexible (one per sample, no intermediaries), plus usually too wide-band
    - **Tuneable Lyot filters an exception!**
  - Lasers – inflexible (same as above), expensive
  - Prisms – nonlinear wavelength dependency, but pure output
  - Gratings – linear dependency on wavelength, but higher-order diffraction effects affect purity of result

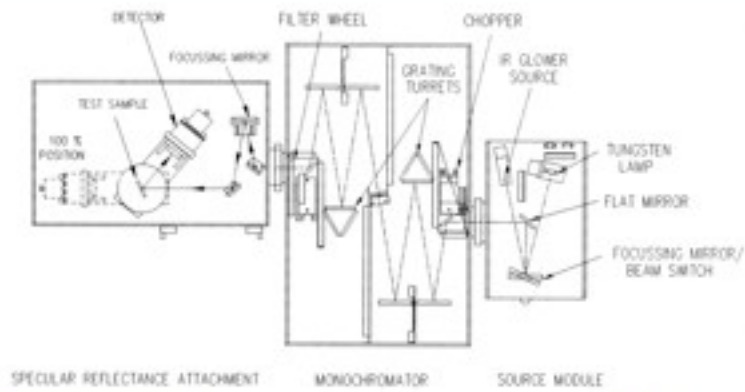
# Prism Monochromator



# Grating Type Monochromator



## Spectro-Radiometer Components

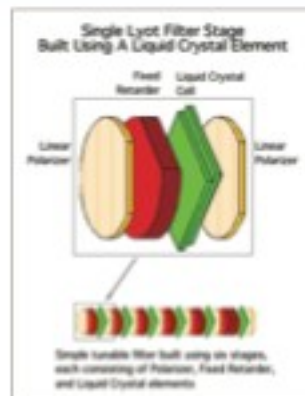


## Bi-Spectral Capture

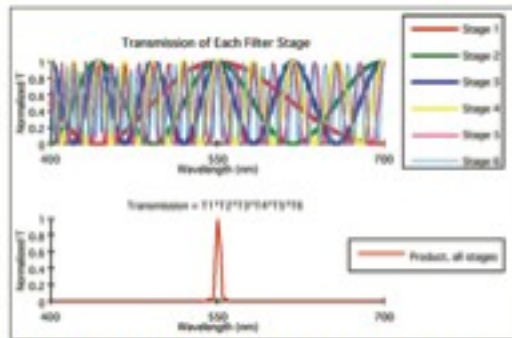
- Measurement of fluorescent samples requires a bi-spectral measurement setup
  - Tuneable, narrow-band monochrome lightsource that is used to illuminate the sample
  - Spectro-radiometer to measure each response
- Problem: high-power narrow-band monochrome light source
  - Narrow band implicitly means only a small percentage of lamp efficiency is used
  - Ideally, should include near UV range
  - Sensitive spectro-radiometer needed

## (Tunable) Lyot Filters

- Basic idea: use a cascade of birefringent materials to achieve a narrow bandpass
  - Lyot filter
- Tunable version: insert materials of controllable birefringence into the optical path



## Lyot Filter Cascade



- Each Lyot element is a bandpass of certain width
- A cascade results in a single transmission band

## Lyot filter example: CRI VariSpec

- 55ms switching time
- No vibrations
- Can be used in front of digicams etc.
- Different models for various wavelength ranges
  - No one-size-fits-all filter possible



## Professional Spectro-Radiometer 1

- Internal arrangements basically as shown in previous sketch
- 10nm resolution from 380 to 780nm, 18 kg
- Two gratings, 15cm sphere
- Extremely high inter-device agreement
- Capable of eliminating fluorescence effects

CM-3720d





## Professional Spectro-Radiometer 2

- Internal arrangements differs from sketch - direct light path to grating
- Intended for light measurements
- 0.9 nm resolution from 380 to 780nm, 5 kg
- 1 degree measurement angle
- Various lenses (wide angle, tele etc.) available



## Gretag Macbeth Spectrolino

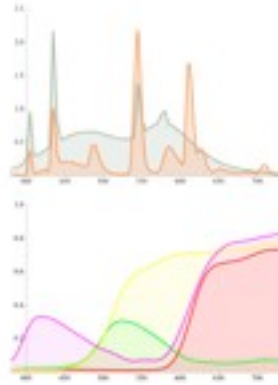
- Handheld device
- 10nm resolution, 380 to 780nm
- Has to be attached to a computer via serial port
- Not particularly useful without the accompanying software
- Serial interface fully documented
- Differences to previous device: less repeatability, less inter-device agreement
- Modern version: EyeOne (USB)



## Options for Describing Spectra

# Spectral Representations

- How do we store the data for rendering?
- Represent light as frequency distribution
  - Usually smooth
  - Sometimes sharp peaks (fluorescent light sources, spectral colours)



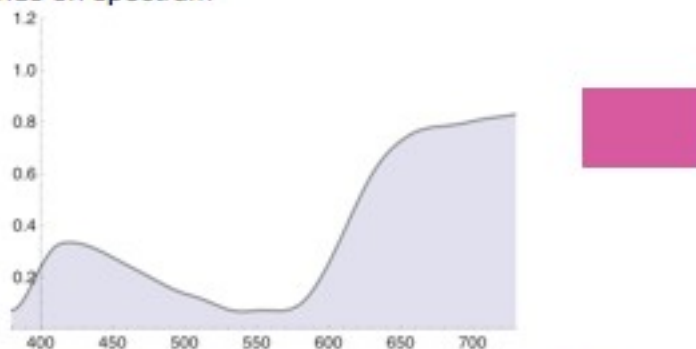
# How to discretize these distributions?

- Regularly sampled spectra
  - Aliasing
  - Fast Convolution
- Linear or higher order representations
  - Efficient storage
  - Slow convolution
- Hybrids
  - Slow, but even more efficient w/r to storage



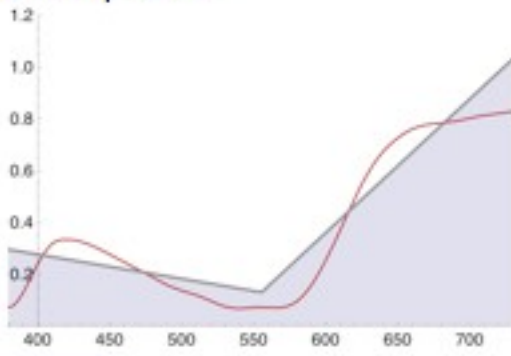
# How Many Samples Do We Need?

- Depends on spectrum



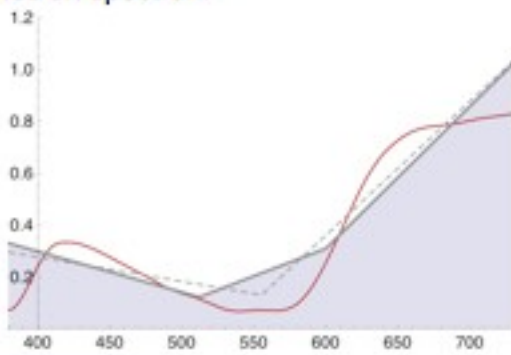
# How Many Samples Do We Need?

- Depends on spectrum



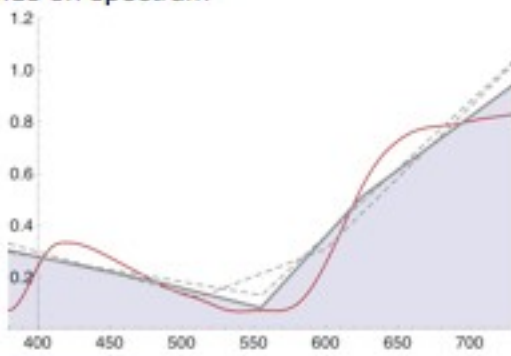
# How Many Samples Do We Need?

- Depends on spectrum



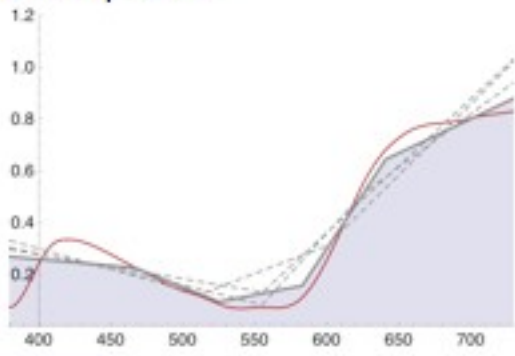
# How Many Samples Do We Need?

- Depends on spectrum



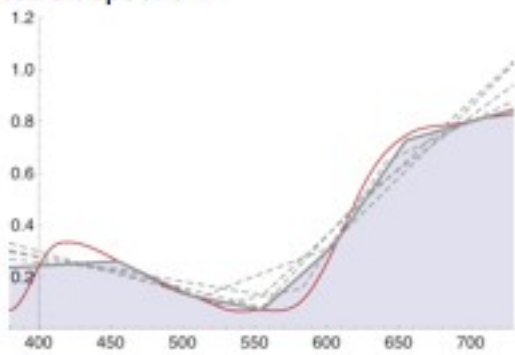
# How Many Samples Do We Need?

- Depends on spectrum



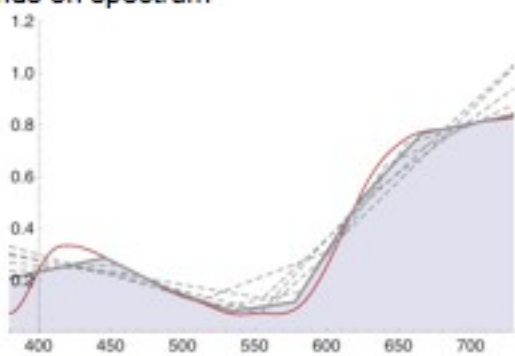
# How Many Samples Do We Need?

- Depends on spectrum



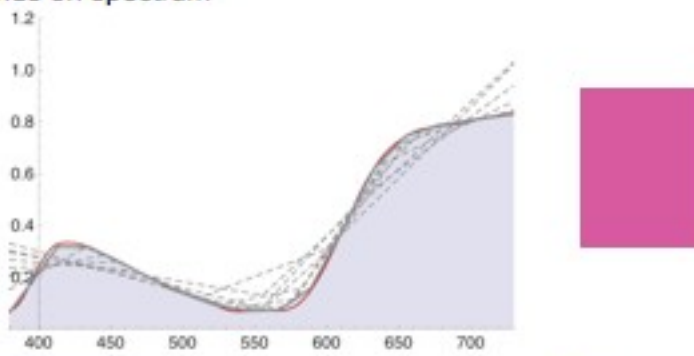
# How Many Samples Do We Need?

- Depends on spectrum



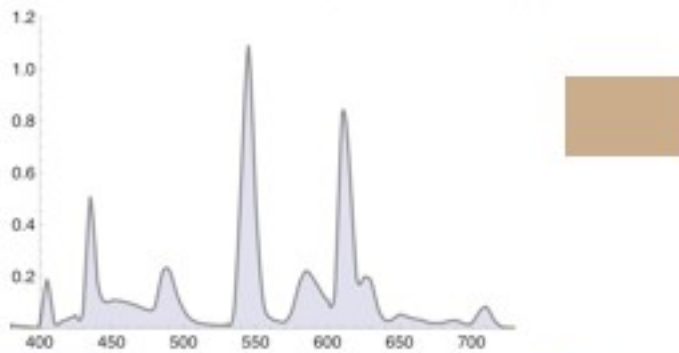
# How Many Samples Do We Need?

- Depends on spectrum



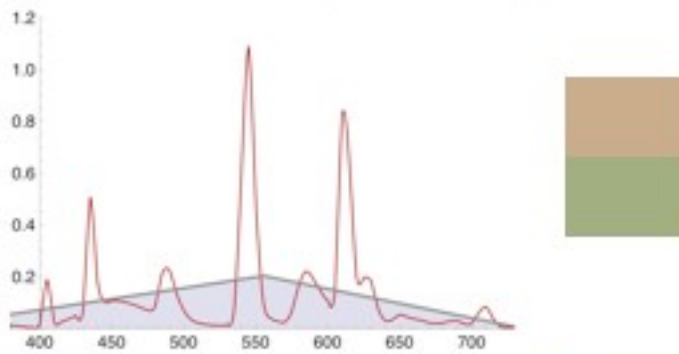
# Fluorescent Spectra (CIE F11)

- More samples are needed for spectra with sharp peaks



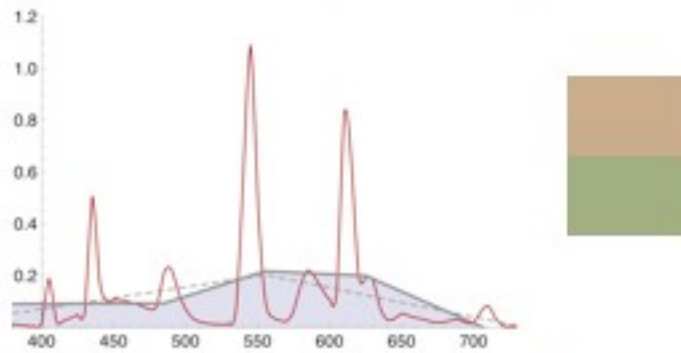
# Fluorescent Spectra (CIE F11)

- More samples are needed for spectra with sharp peaks



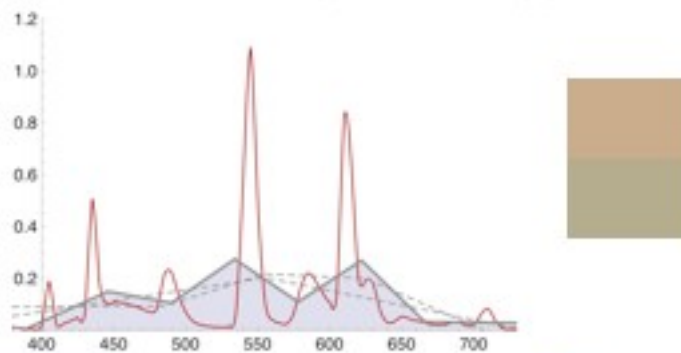
## Fluorescent Spectra (CIE F11)

- More samples are needed for spectra with sharp peaks



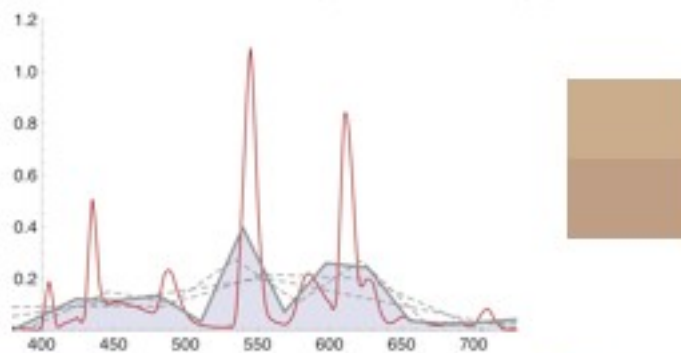
## Fluorescent Spectra (CIE F11)

- More samples are needed for spectra with sharp peaks



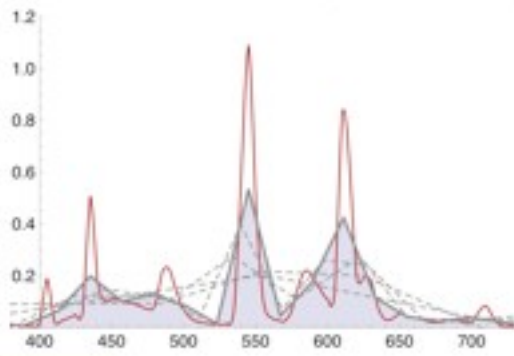
## Fluorescent Spectra (CIE F11)

- More samples are needed for spectra with sharp peaks

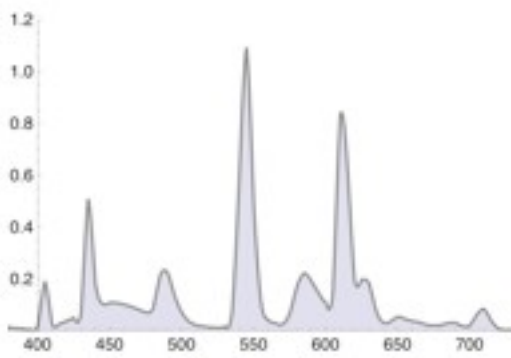


# Fluorescent Spectra (CIE F11)

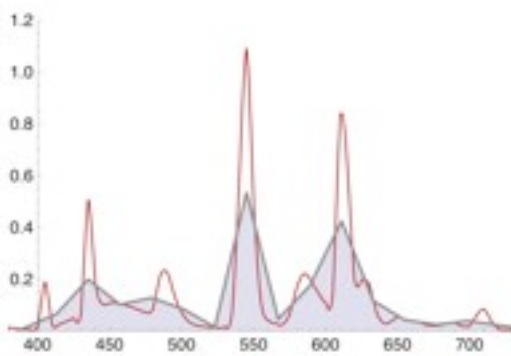
- More samples are needed for spectra with sharp peaks



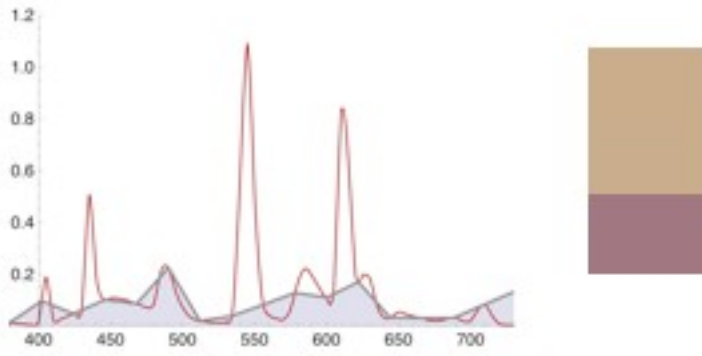
# CIE F11 - Representations



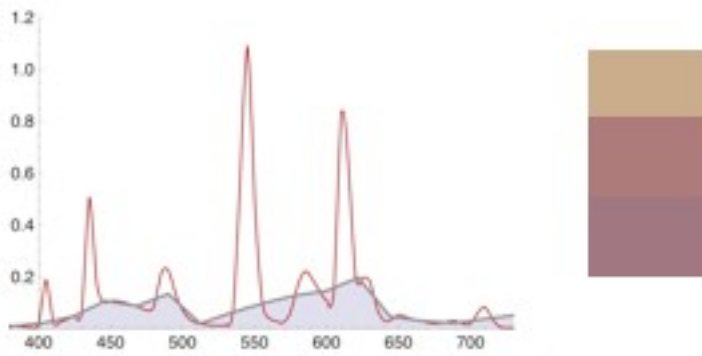
# CIE F11 - Representations



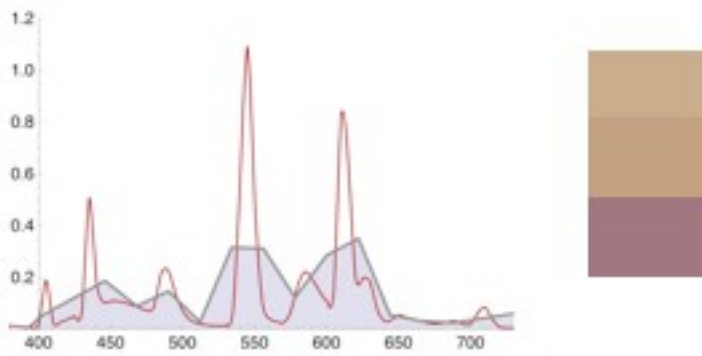
# CIE F11 - Representations



# CIE F11 - Representations

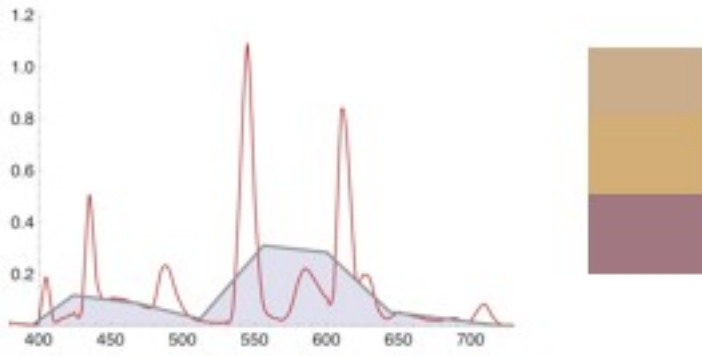


# CIE F11 - Representations

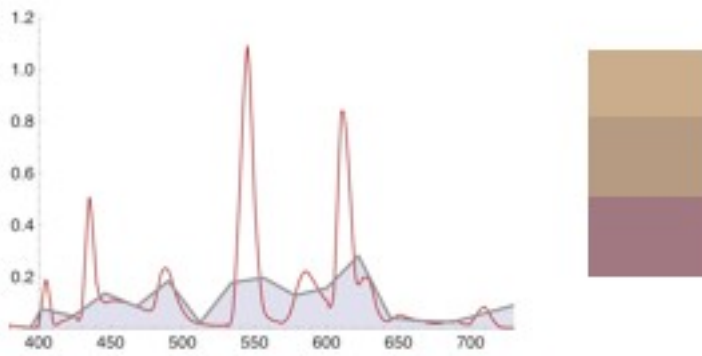




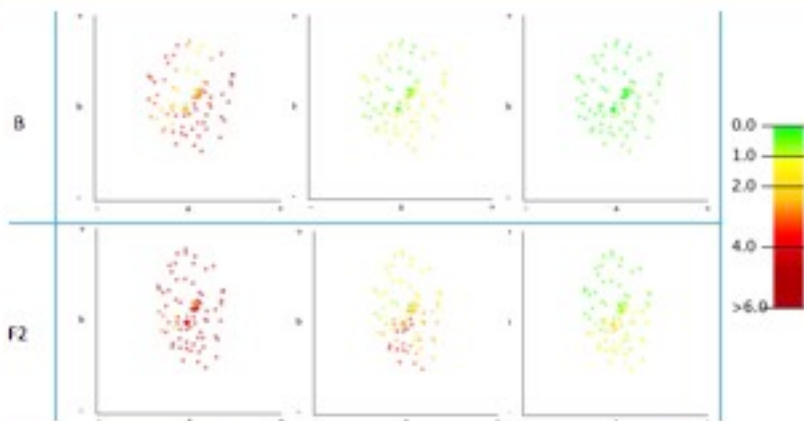
# CIE F11 - Representations



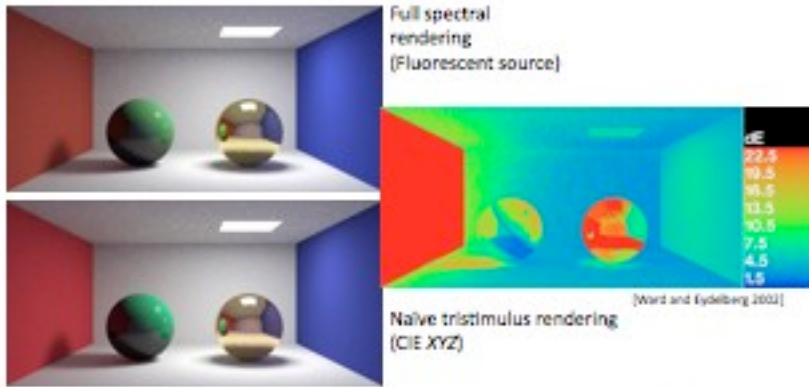
# CIE F11 - Representations



# Error Map Sampling Points



## Comparison Spectral/Tristimulus



## Practical Aspects of Building and Debugging a Bi-spectral Hybrid Colourspace / Spectral Rendering System

## Light vs. Attenuation

- Two types of energy-related entities exist in a rendering system:
  - **Radiant intensity**, e.g. emissions from lightsources („light“)
  - **Attenuation**, i.e. the influence of surfaces and media („importance“, or „filter“)
- In most rendering systems they are not properly distinguished, and use the same data structures (i.e. RGB values)
- Both polarisation and fluorescence break this

## Practical Example: ART

- System that is designed to avoid run-time polymorphism (performance!)
- System can be set to one particular colour computation type, and performs all calculations that involve light and reflectance using this type
  - All input data is converted to this representation!
  - Efficient multiplications!
- ART uses a single global state variable called `art_gv` to store, amongst other things, information on which CCT is being used

## ART: Colour and Light Subsystem #1

- `art_gv` contains an array of function pointers - one for each function that manipulates light and attenuation
- Advantages of not using polymorphism, but a fixed set of pointers:
  - No lookups necessary - one uniform type used
  - No conversions of representations at runtime
- The contents of the array are switched to one particular set of functions once a representation is chosen

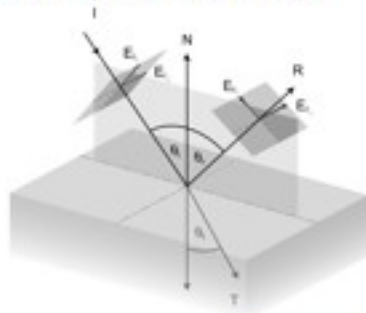
## ART: Colour and Light Subsystem #2

- Scene graphs etc. contain "native" spectra
  - Each of those is converted to a CCT representation on loading
- Potential issue with such a switching system:
  - Later changes in CCT
  - Possible sequence: scene graph is loaded, contains info on which CCT is to be used (!)
    - scene graph was possibly built in memory based on some other CCT
  - Solution: scene graph functionality to refresh values that evaluate to a CCT

## Side Note: Describing Polarisation

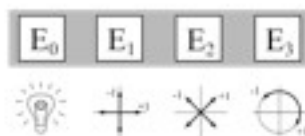
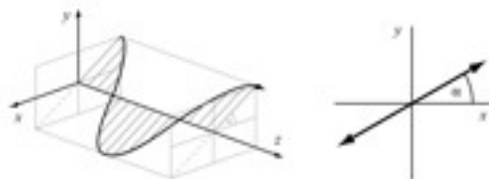
## Polarisation: Causes

- Specular surfaces: degree of polarisation after reflection can be determined from Fresnel formulae (incl. retardance)
- Used in conjunction with Torrance-Sparrow model
- More complex models are uncommon [He et al.]



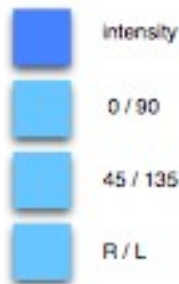
## Describing Polarisation #2a

- Light:
  - Stokes vectors
  - 4-vector with real elements
  - Range of first component is 0 to infinity, others -1 to 1
  - Blends in with non-polarising implementations



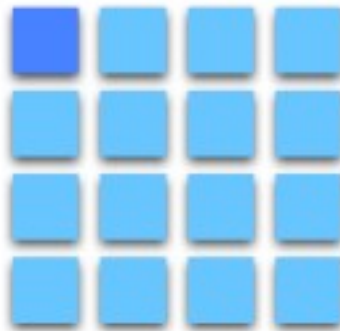
## Describing Polarisation #2a

- Light:
  - Stokes vectors**
  - 4-vector with real elements
  - Range of first component is 0 to infinity, others -1 to 1
  - Blends in with non-polarising implementations



## Describing Polarisation #2b

- Filter:
  - Müller matrices**
  - 4 x 4 real matrices
  - Element (0,0) is non-polarising filter
  - Interaction of all components with each other is encoded



## Combined Polarisation and Fluo Rendering

- Fluorescence is a diffuse phenomenon, hence cannot cause polarisation
  - Direct consequence: only the main diagonal of re-radiation matrix are Müller matrices
- All other entries below the main diagonal are just normal floats



# Practical Example: ART

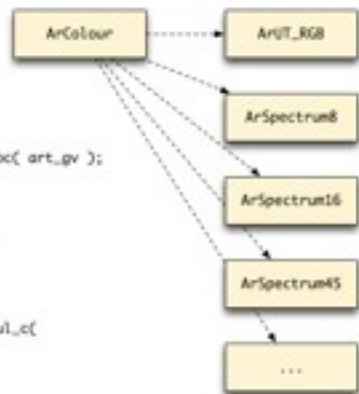
- Two levels of colour & spectrum-related data types co-exist
- **ArColour**: basic representation, either spectral or RGB colour values (not CIE XYZ!)
  - RGB space can be chosen!
- **ArLightIntensity**: synonymous with **ArColour** (via a typedef)
- **ArLight** / **ArAttenuation**: used by rendering algorithms, contain **ArColour**
  - Polarisation capabilities are switchable!

## ART: ArColour

```
typedef struct ArColour  
{  
    void * value;  
}  
ArColour;
```

```
ArColour * colourValue = col_alloc( art_gv );
```

```
void col_d_mul_c(  
    const ART_GV * art_gv,  
    const double dB,  
    ArColour * cr  
)  
{  
    art_gv->arcolour_gv->_acf_d_mul_c(  
        art_gv,  
        dB,  
        cr->value  
    );  
}
```



## ART: ArLight

```
typedef struct ArLight  
{  
    void * value;  
}  
ArLight;
```



```
typedef struct ArSVLight  
{  
    unsigned int polarised;  
    ArStokesVector * stokesVector;  
    ArReferenceFrame referenceFrame;  
}  
ArSVLight;
```

```
typedef struct ArStokesVector  
{  
    ArLightIntensity * ii[4];  
}  
ArStokesVector;
```

```
typedef struct ArReferenceFrame  
{  
    Vec3D c[2];  
}  
ArReferenceFrame;
```

# ART: ArAttenuation

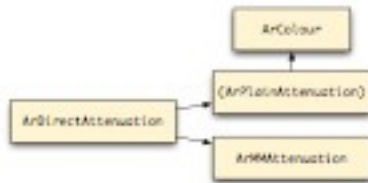
```
typedef struct ArAttenuation
{
    ArDirectAttenuation
    ArCrosstalk
    unsigned int
    unsigned int
    double
    unsigned int
    double
} ArFilter;
```

```
    attenuation;
    crosstalk;
    fluorescent;
    monochrome;
    wavelength;
    monochromeChannelIndex[2];
    monochromeChannelWeight[2];
```



```
typedef struct ArCrosstalk
{
    double * c;
} ArCrosstalk;
```

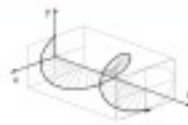
$$n_X = \frac{n_c \cdot (n_c - 1)}{2}$$



# Reference Frames for Polarised Light

```
typedef struct ArSWlight
{
    unsigned int
    ArStokesVector
    ArReferenceName
} ArSWlight;
```

```
    polarised;
    stokesVector;
    referenceName;
```



```
typedef struct ArMMAttenuation
{
    ArMMProperties
    ArMuellerMatrix
    ArReferenceName
} ArMMAttenuation;
```

```
    properties;
    muellerMatrix;
    referenceFrameEntry;
    referenceFrameExit;
```



- Note that light values have one reference frame, but that attenuations have two!

# ART: Colour and Light Subsystem #3

- If polarisation support is enabled, all operations with light and attenuation are subject to additional constraints, e.g.
  - Addition of light is only permissible if the light is in collinear reference systems  
(rotate along propagation axis if not aligned)
  - Concatenation (i.e. multiplication) of attenuation values is only permissible if the input and output coordinate systems of the two attenuations match
- These assertions are not checked in normal operation - only in debug mode!