# Efficient Sorting and Searching in Rendering Algorithms 

Eurographics 2006 Tutorial T4

Organizers and Presenters

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#### Abstract

In the proposed tutorial we would like to highlight the connection between rendering algorithms and sorting and searching as classical problems studied in computer science. We will provide both theoretical and empirical evidence that for many rendering techniques most time is spent by sorting and searching. In particular we will discuss problems and solutions for visibility computation, density estimation, and importance sampling. For each problem we mention its specific issues such as dimensionality of the search domain or online versus offline searching. We will present the underlying data structures and their enhancements in the context of specific rendering algorithms such as ray shooting, photon mapping, and hidden surface removal.


## Organizers bibliographies


#### Abstract

Vlastimil Havran is an assistant professor at the Czech Technical University in Prague since February 2006. He defended his Ph.D. dissertation on ray shooting algorithms in 2001 at the Czech Technical University in Prague. Later he joined the computer graphics group at Max-Planck-Institute for Informatics in Saarbruecken. He became a research associate at the same institute in 2003. He has contributed to the topic of sorting and searching by his dissertation on ray shooting algorithms which started the area of interactive ray tracing. In addition to sorting and searching he worked on various other topics in rendering.

Jiří Bittner holds a Ph.D. in Computer Science from the Czech Technical University in Prague. His main research interests include visibility preprocessing, occlusion culling, real-time rendering, and computational geometry. He has also been active in development of two commercial products dealing with real-time rendering of large scenes. He is currently affiliated with the Vienna University of Technology and the Czech Technical University in Prague.


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## Tutorial Web Page

The updated version of this tutorial presented at Eurographics 2006 can be found under the following URL:

```
http://www.cgg.cvut.cz/~havran/eg2006tut/
```


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Havran and Bittner / Efficient Sorting and Searching in Rendering Algorithms

| Sorting and Searching |
| :---: |
| in Image Synthesis |
| Eurographics 2006 Tutorial T4 |
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| Czech Technical University in Prague |
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| Content |  |
| :--- | :---: |
| Part One |  |
| • Introduction to Rendering |  |
| - Sorting and Searching |  |
| • Hierarchical Data Structures |  |
| - Ray Shooting |  |
| - Questions and Answers (5 minutes) |  |
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## Content

## Part Two

- Hidden Surface Removal
- Visibility Culling
- Photon Maps and Irradiance Caching
- Ray Maps
- Other Algorithms
- Questions and Answers (10 minutes)


## Tutorial Goals

- Recall that we often use sorting and searching in rendering
- Highlight connections between different problems in rendering
- Briefly show efficient solutions
- Show unusual solutions resulting from twisting searching queries and domains


## What is Not Covered Here

- Collision detection algorithms (EG'05 Tutorial)
- Image based rendering
- Radiosity
- Non-photo realistic rendering
- Clustering techniques
- Graph theory and other related problems
$\qquad$

| Introduction to Rendering |
| :---: | :---: |
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| Czech Technical University in Prague |



## Tutorial Motivation



Havran and Bittner / Efficient Sorting and Searching in Rendering Algorithms

|  |  |
| :---: | :---: |
| Introduction to |  |
| Sorting and Searching |  |
| Jirí Bittner |  |
| Czech Technical University in Prague <br> Vienna University of Technology |  |
|  |  |


| Searching |  |
| :--- | :--- |
| - Searching query definition |  |
| Q xS $\rightarrow \mathrm{A}$ |  |
| Q set of queries |  |
| S search space |  |
| A set of answers |  |
| Introduction to soting and searching | 2 |

Geometric search problems
Geometric search problems

- Dimension of S

| primitive | $\mathrm{R}^{2}$ | $\mathrm{R}^{3}$ |
| :--- | :---: | :---: |
| points | 2 | 3 |
| lines | 2 | 4 |
| spheres | 3 | 4 |

- Example
- Lines-points duality in 2D



## Geometric search

- Exact vs. Approximate
- Approximate: finds solution "close to" optimum
- Static vs. dynamic
- Dynamic: S may change
- Online vs. offline
- Offline: applied for entire sequence of Q


## Search Complexity

- Single result for query
- O(logn)
- Multiple results for query
- Reporting $O(\log n+k)$
- Counting $\mathrm{O}(\log \mathrm{n})$


## Typical Problems in CG

- Search space
- Set of points, set of objects, set of oriented discs, set of hemispheres, set of rays
- Queries
- Point, ray, hemisphere, set of points (polygon, bounding box, bounding sphere)

Search Problem Transformation

- Halfspace intersection in 2D
-2D line maps to 2D point (duality)
- Convex hull of points
- Point and spheres intersection in 3D
-3D point maps to 4D point
- 3D spheres maps to 4D hyperplanes
- Duality $\rightarrow$ Halfspace range reporting in 4D


## Searching methods

- Sorted arrays
- Binary search
- Interpolation search
- Search trees
- Binary search trees
-kD-trees, R-trees, AVL-trees....
- Hashing
- Spatial grid hashing


## Sorting

- Motivation: Improve searching performance
- Naïve search: O(n) time
- With sorting: O(log n)!
- In special cases even O(1)
- Assumption
- Spatial relations among elements of S
(objects, points, rays, normals, ...)

Basic Sorting Algorithms

| Algorithm | Method | Best | Average | Worst |
| :---: | :---: | :---: | :---: | :---: |
| Heapsort | Selection | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ |
| Selection sort | Selection | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ |
| Quicksort | Partitioning | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ |
| Bucket sort | Distribution | $\mathrm{O}(\mathrm{n})$ | $\mathrm{O}(\mathrm{n})$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ |
| Merge sort | Merging | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ | $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ |
| Bubble sort | Exchanging | $\mathrm{O}(\mathrm{n})$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ |
| Insertion sort | Insertion | $\mathrm{O}(\mathrm{n})$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ | $\mathrm{O}\left(\mathrm{n}^{2}\right)$ |

Space complexity: O(n)

Havran and Bittner / Efficient Sorting and Searching in Rendering Algorithms

## Examples in CG

- Quicksort
- Top-down construction of spatial hierarchies
- Bucket sort
- Z-buffer, voxel grid
- Heapsort
- Priority queues (occlusion culling, k-NN search)

| Hierarchical Data Structures |  |
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|  |  |

## Hierarchical Data Structures (HDS)

- Connection to sorting
- Classification
- Bounding volume hierarchies
- Spatial subdivisions
- Hybrid data structures
- Searching using HDS
- Special techniques on hierarchies



## Connection to Sorting

## Hierarchical Data Structures =

implementation of (spatial) sorting

Why ?

- Time complexity is $\mathrm{O}(\mathrm{N} \log \mathrm{N})$
- For 1D hierarchy over points the construction of HDS is clearly equivalent to quicksort


## Recall Quicksort

- Pick up a pivot Q
- Organize the data into two subarrays: the left part smaller than pivot $Q$, the right part larger or equal than pivot Q
- Recurse in both subarrays

Examples of HDS in 2D


Hierarchical Data Structures

| HDS Classification |
| :--- |
| $\begin{array}{l}\text { 1) Data domain organization of HDS } \\ \text { - Spatial subdivisions - primarily organizing space } \\ \text { (non-overlapping) } \\ \text { - Object hierarchies - primarily organizing objects } \\ \text { (overlapping) } \\ \text { - Hybrid data structures } \\ \text { - Transformations and mappings } \\ \text { Hearachical aata structures }\end{array}$ |

## HDS Classification

2) Dimensionality of HDS

- Necessary to represent data entities: 1D, 2D, 3D, 4D, or 5D
- Data entities: points, lines, oriented half-lines, disks, oriented hemispheres, etc.
- Possibility to extend many problems to time domain (so plus one dimension)


## Types of Nodes in HDS

- An interior node represents a "pivot"according to the data entities are sorted
- Typical content is a subdivision plane or a set of planes plus references to child nodes
- The way of interior node representation with respect to the task is crucial for searching performance


## Spatial Subdivisions

- Non-overlapping regions of child nodes
- Space is organized by some (cutting) entities, typically by planes, constructed top-down
- Fully covering an original spatial region, every point can be located in some (empty or nonempty) leaf
- They are often called space partitionings


## Spatial Subdivision Examples

- Kd-trees
- BSP-trees
- Octrees
- Uniform grids
- Recursive grids


## Names used for Object

 Hierarchies- Bounding Volume Hierarchies (BVHs)
- R-trees and their many variants
- Box-trees
- Several others (special sort of bounding volumes... sphere trees etc.)


## Object Hierarchies

- Possibly overlapping extents of child nodes
- Often called bounding volume hierarchies
- Possibly some spatial regions are not covered
- Constructed top-down or bottom-up
- The shape represented by interior nodes typically a box, but other shapes possible



## Hybrid Data Structures

- Combining between various interior nodes
- Possibly combining between spatial subdivisions and object hierarchies
- Sharing pros and cons of both types
- They can be tuned to compromise of some properties, for example efficiency and memory requirements


## Other HDS

- Content of the node - a single splitting plane, more splitting planes, a box, additional information.
- Arity of a node (branching factor)
- A way of constructing a tree (height, weight balancing) + postprocessing
- Data only in leaves or also in interior nodes
- Augmenting data


## Example of Other HDS

- Cell trees (polyhedral shapes for splitting)
- SKD-trees (two splitting planes at once)
- hB-trees (holey brick B-trees)
- LSD-tree (height balanced kd-tree)
- P-trees (polytope trees)
- BBD-trees (bounding box decomposition trees)
- And many others
(See the surveys listed in tutorial notes)


## HDS Construction Algorithm

Initial Phase: create a node with all elements and put it to the auxiliary structure AS (stack or priority queue).
Top-Down, Divide and Conquer:
(1) Take a node from an auxiliary structure AS. If

AS is empty, then we are finished.
(2) Take a set of elements in the node and decide if to subdivide or not. If not, create leaf, go to (1).
(3) Decide how to split the set into two ( N ) subsets and create new nodes.
(4) Put the new nodes to AS. Recurse.

## Transformation Approach

- Input: A spatial object in 2D or 3D domain, for example a box
- Output: A point in 4D or 6D domain
- More complicated mapping is possible, for example a sphere in 3D -> point in 4D
- The transform often changes the searching algorithm used completely


## Search Algorithms using HDS

- Start from a root node
- Typically down traversal phase (location phase) + some other phase
- During visiting an interior node use either a stack (LIFO) or priority queue to record the nodes to be visited in future
- Compute incidence computation when visiting a leaf
Note: auxiliary structure implements another sorting phase during searching
- Range queries - given a range $X$, find all the incidences of $X$ with data
- Nearest neighbour - find a nearest neighbor
- k-nearest neighbour
- Reverse nearest neighbors - given a point Q, find all the points to which $Q$ is nearest neighbor
- Ranking - given a query object Q, report on all the objects in order of distance from $Q$
- Intersection search - given a point Q, find all the objects that contain Q
- Result = the cost of computation ... C
- Performance is inverse proportional to the quality of the data structures for given problem
- The two uses of performance model
- a posteriori: documenting and testing performance
- a priori: constructing data structures with higher expected performance


## Search Performance Model

$\qquad$
Hierarchical Data Structures

## Search Performance Model

Typical cost model:

$$
\begin{aligned}
& C=C_{-} T+C_{-} L+C_{-} R \\
& C=C_{-} \text {TS * N_TS + C_LO * N_LO + C_Access * N_Access }
\end{aligned}
$$

- C_T ... cost of traversing the nodes of HDS
- C_L ... cost of incidence operation in leaves
- C_R ... Cost of accessing the data from internal or external memory


## HDS Dynamization

- Given changes, only update data structures to reflect these changes
- It is assumed that the performance of searching remains acceptable after update
- Dynamization can require additional bookkeeping data to monitor the cost/quality of a HDS node and its associated subtree
- Techniques known for 1D trees (rotation, balancing) are often not applicable
- It is usually required to update larger amount of data at once (bulk updating)

| Ray Shooting |  |
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|  |  |


| Ray Shooting |  |
| :--- | :---: |
| - Ray shooting versus ray tracing |  |
| - Connection to sorting and searching |  |
| - Ray shooting with kd-trees |  |
| - Performance studies |  |
| - Octrees, uniform grids, recursive grids |  |
| - Bounding volume hierarchies |  |
| - Offline ray shooting |  |
| Ray shooing | 2 |

## Ray Shooting Algorithm (RSA)

Task: Given a ray, find out the first object intersected.


Input: a scene and a ray
Output: the object $C$

## Ray Tracing versus Ray Shooting

- Ray shooting - only a single ray
- Ray tracing in computer graphics can be:
- Ray shooting
- Ray casting - only primary rays from camera
- Recursive ray tracing
- Distribution ray tracing and others


## Some Complexity Issues

Computational Geometry

- aimed at worst-case complexity
- restriction to certain class of object shape (polygons, triangles)
- unacceptable memory requirements
$\mathrm{O}(\log \mathrm{N})$ query time induces Omega $\left(\mathrm{N}^{4}\right)$ space
Computer Graphics
- aimed at average-case complexity
- practical feasibility and robustness
- implementation issues important for performance


## Some Complexity Results

Lower bound for worst-case complexity: 1997/98
Laszlo Szirmay-Kalos + Gabor Marton - lower bound for space complexity is Omega( $\mathrm{N}^{4}$ ) for $\mathrm{O}(\log \mathrm{N})$ search

Applicability of Computational Geometry techniques in CG for ray tracing

- CGE techniques are not general
- limited to small number of primitives
- no implementations available


## Computer Graphics <br> Techniques Overview

Techniques developed: average-case complexity, no complexity guarantees, many "tricks"

Basic techniques: bounding volumes, spatial subdivision, ray classification

Augmented techniques: macro regions, pyramid clipping, proximity clouds, directed safe zones

Special tricks: ray boxing, mailbox, handling CSG primitives, other types of coherence Ray Shooting


RSA Techniques Classification
A) Subdivision techniques (top down)
-- binary space partitioning (kd-trees)
-- octrees
-- uniform and hierarchical grids
-- bounding volume hierarchy
B) Clustering (bottom up)
-- bounding volume hierarchy

Ray Shooting


RSA Techniques Comparison

## 量㿥

30 scenes times 12 RSAs times 4 ray distribution methods
= 1440 measurements, year 2000-2001
Timings (construction time, search time, total time)


Note: In test BVH constructed in bottom-up fashion !
Ray Shooting

RSA Techniques Comparison
Number of operations (ray-object intersection, traversal steps)


Note: values normalized to the worst value

Geometric Probability of Ray Intersecting a Subdivided Box
probability $_{\text {LEFT }}=P_{\text {LO }}+P_{\text {LR }}+P_{\text {RL }}$ probability $_{\text {RIGHT }}=P_{R O}+P_{L R}+P_{R L}$


RSA based on Kd-tree
Quite an efficient solution used in practice
Construction (in $O(N \log N$ ) time)

- based on cost function and geometric probability
- automatic termination criteria algorithm
- various efficiency improvements:
- construction of kd-tree with empty spatial regions
- reducing objects' axis-aligned bounding boxes
- preferred ray sets

Ray traversal

- in practice achieves expected $\mathrm{O}(\log \mathrm{N})$ time
- robust recursive ray traversal algorithm

Ray Shooting

Kd-tree Construction Based on
Cost Function with Greedy Heuristics
$\begin{aligned} & \text { Cost }= \text { probability }_{\text {LEFT }}{ }^{*} \text { cost_subtree } \\ & \text { probability } \\ & \text { RIGHT }\end{aligned}{ }^{*}$ cost_objects ${ }_{\text {RIGHT }}+$
Ray Shooting

## Termination Criteria for Construction

- Local: using a stack
- Simple local: maximum depth + number of objects
- More complicated local: a maximum number of cost improvement failures + maximum estimated depth + number of objects
- Global: using a priority queue
- maximum memory used
- maximum memory used + maximum leaf cost



## Recursive Ray Traversal Basic Cases Classification



Ray Shooting
Recursive Ray Traversal Algorithm


Ray Shooting
22

## Ray Shooting with Octrees

- Interior node arity is eight
- Up to four child nodes can be traversed in an interior node
- Traversal algorithm necessarily more complicated than for kd-tree
- About 26 papers about ray tracing with octrees were published

- The octree is less adaptive to the scene geometry than kd-tree
- Geometric probability can be used in the same way as for kd-trees (Octree-R)
- According to the experiments, octrees are less efficient than kd-trees


## Ray Shooting with Uniform Grids

- Arity of a node proportional to the number of objects
- Special traversal method based on 3DDA
- For skewed distributions of objects in the scene is slow
- For highly uniform distributions of objects it is slightly faster than kd-trees



## Ray Shooting with Bounding Volume Hierarchy (BVH)

- Each interior node is fully described by a bounding box
- The number of child nodes is usually two for top-down construction (more for bottom-up construction)
- The nodes can overlap
- Each object is referenced only once

- The memory consumption is higher
- Traversal algorithm similar to kd-trees
- Kd-trees can be emulated by BVHs. The other way round is also feasible.


## Performance Model of

 Ray ShootingTotal cost for RSA =
cost for ray-object intersection tests + cost for ray traversal of kd-tree + cost for data move from memory to CPU
[.
Faster ray-object intersection tests

- Decreasing number of ray-object intersection tests
[.
- Faster traversal step
- Decreasing number of traversal steps
- Reducing CPU-memory traffic

Ray Shooting

## Offline Ray Shooting: Coherence 赛总

- If boundary rays traverse the same sequence $S$ of leaves, then all rays in between also traverse the same sequence.
- Proof by convexity


[^0]Offline Ray Shooting in HDS: Principle


[^1]
## Offline Ray Shooting

- Shooting more rays at once
- Rays are formed by camera, by viewing frustum or by point light sources
- Rays are coherent = similar in direction and origin
- Problem can be formulated as offline setting of searching
- We can amortize the cost of traversal operations though the data structure ... the number of traversal steps is decreased typically by 60-70\%
$\qquad$
$\square$


## Sampling in Image Space

E
Hidden surface removal based on LCTS concept in one or two dimensions.


Other schemes: hierarchical image sampling Ray Shooting


Simple LCTS = Sequence of Leaves



Simple LCTS - Problems

1) No common sequence of leaves exists. 2) When accessing SLCTS, object was not found, and traversal has to continue further.


Ray Shooting
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Ray Shooting

Hierarchical LCTS contd.
Matching two traversal histories into common one:


Ray Shooting
,

Hierarchical LCTS contd.

1) Matching traversal histories for two or more rays.
2) Matching traversal histories for rays with the previously constructed common traversal history.

```
HLCTS1 - constructed from 
traversal history of R1 and R2
Ray R3 - traversal uses HLCTS1
HLCTS2 - constructed from
    HLCTS1 and
    HLCTS1 and 
```

Ray Shooting

## Ray Cache in Final Gathering

- Store the rays into cache according to direction
- When a bucket is filled in, shoot all of them at once
- Improves access pattern for incoherent queries
- Speedup up to $30 \%$


Questions and Answers for量胃 Part One
$\left.\begin{array}{|cc|}\hline \text { Questions and Answers for } \\ \text { Part One }\end{array}\right]$

## Surveys on Ray Shooting and Ray Tracing

- G. Simiakakis: Accelerating Ray Tracing with Directional Subdivision and Parallel Processing, 1995
- V. Havran: Heuristics Ray Shooting Algorithms, 2001
- I. Wald: Real Time Ray Tracing and Global Illumination, 2004
- A. Y-H. Chang: Theoretical and Experimental Aspects of Ray Shooting, 2005

| - Hidden Surface Removal <br> - Visibility Culling <br> - Photon Maps and Irradiance Caching <br> - Ray Maps <br> - Other Algorithms <br> - Questions and Answers (10 minutes) | Hidden Surface Removal <br> Jiří Bittner <br> Czech Technical University in Prague Vienna University of Technology |  |
| :---: | :---: | :---: |
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## Hidden Surface Removal

- List priority algorithms
- Area subdivision algorithms
- Scan-line
- Z-buffer
- Ray casting


## Depth Sort

- Draw faces back to front [Newell72]
- Overwrite the farther ones (painter's alg.)
- Determine strict depth order
- Resolve cycles of overlaping polygons
- Step 1: depth sort (Z)
- Quick sort, bubble-sort (temporal coherence)
- Step 2: rasterization (YX)
- Bucket sort

Hidden Surface Removal

## Depth Sort with BSP Tree

- BSP build in preprocess
- Select a plane
- Partition the polygons in front/back fragments
- If >1 polygon $\rightarrow$ recurse

- Quick-sort like
- Heuristics for splitting plane selection

order: F,E,D,C,A2,B,A1 $\quad 5$


## Depth Sort with BSP Tree

- Tree size: $O\left(\mathrm{n}^{2}\right)$
- BSP need not be autopartition!
- For manifolds depth order can be predetermined $\rightarrow$ coarser BSP
- Generalization to all BSP nodes 'Feudal priority tree' [Chen96]


## Area Subdivision

- Subdivide screen space [Warnock69]
- Classify polygons with respect to the area
- Terminate if trivial solution
- Step 1: octree subdivision (XY) - Quick sort like
- Step 2: list for octree nodes (Z) - Insertion sort



## Naylor's BSP projection

- Draw polygons front to back
- Clip polygons by 2D BSP of projected polygons
- Step 1: depth sort (Z) -3D BSP built in preprocess
- Step 2: 2D BSP (XY)
- Quick sort like subdivision of the projection plane


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## Scan-Line



- Sort by scan-lines (Y)
- Sort spans within a scanline (X)
- Search for closest span (Z)
- [Watkins70]
- Bubble sort in X and Y
- O( $\log n$ ) search in $Z$


## Z-buffer

- Rasterize polygons in arbitrary order
- Maintain per pixel depths
- Step 1: rasterization (YZ)
- Bucket sort
- Step 2: per pixel depth comparison (Z)
- Min selection



## Ray Casting

- Cast ray for each pixel
- Step 1: spatial data structure (XYZ)
- Preprocess
- Trees ~ quick sort
- Grid ~ distribution sort
- Step 2: search for nearest intersection
- Min selection with early termination

[^2]

Z-buffer vs. Ray Casting

|  | scan-line <br> coherence | output <br> sensitive | presorting |
| :--- | :--- | :--- | :--- |
| Z-buffer | + | - | + (no) |
| Ray casting | - | + | - (yes) |

- Z-buffer better in simple sparsely occluded dynamic scenes
- Ray casting better in complex densely occluded static scenes

Hidden Surface Removal

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## Summary

战

- HSR algorithms sort in
- Directions (XY)
- Depth (Z)
- Differ in sorting order and methods [SSS74]
- Current winners: z-buffer, ray casting



## Visibility Culling - Motivation

- Q: Why visibility culling, when:
- Object outside screen culled by HW clipping
- Occluded objects culled by z-buffer
- A: Linear complexity not sufficient!
- Processing too many invisible polygons
- Goal
- Render only what can be seen!
- Make z-buffer output sensitive


## Online Visibility Culling

- For every frame cull whole groups of invisible polygons
- Conservative solution
- Trading accuracy for speed
- Leaves a superset of visible polygons
- Precise visibility solved by z-buffer
- Backface culling
- View-frustum culling
- Occlusion culling
- CPU techniques
- GPU based (HW occlussion queries)


## Backface Culling

- Culls about 50\% polygons
- Supported by the GPU
- Alternative: Hierarchical back-face culling [Kummar96]
- Sort polygons based on their normals into a tree
- Skip whole groups of backfacing polygons



## View Frustum Culling

- Culls 0-100\% polygons
- Conservative algorithm
- Spatial hierarchy: kD-tree, BSP tree, octree, BVH
- Intersection test with the view frustum
- Optimizations
- Temporal coherence
- Efficient intersection test [Assarson00]


## Occlusion Culling

- Previous methods disregard occlusion
- $99 \%$ of scene can be occluded!

- Solution: Detect and cull also occluded objects


## Shadow Frusta

- Construct shadow frusta for several occluders [Hudson97]
- Object is invisible if inside a shadow frustum
- Queries on the spatial hierarchy


Shadow Frusta - Properties

- Properties
+ Easy implementation
- No occluder fusion!
- No occluder sorting - O(n) query time
- Small number of occluders (~10)


## Occlusion Trees

- Occluders sorted into a 2D BSP tree [Bitt98]
- The tree represents fused occlusion
- Example: occlusion tree for 3 occluders



## Occlusion Tree - Traversal

- Visibility test of a node
- Depth-first-search
- Found empty leaf $\rightarrow$ tested object is visible
- Depth test in filled leaves
- Example of final visibility classification of kD-tree



## Hierarchical Z-buffer

- Extension of z-buffer to quickly cull larger objects [Greene 96]
- Ideas
- octree for spatial scene sorting
- z-pyramid for accelerated depth test
+ Allows to use more occluders (~100)
- Not usable for scenes with small polygons


## Hierarchical Z-buffer - Usage

## E

- Hierarchical test for octree nodes
- Find smallest node of z-pyramid, which contains the tested box
- Box depth > node depth $\rightarrow$ cull
- Otherwise: recurse to lower z-pyramid level
- Optimization: use temporal coherence
- z-pyramid constructed from polygons visible in the last frame


## Hierarchal Occlusion Maps

- Hierarchical occlusion maps [Zhang97]
- Pyramid of occlusion maps
- Separate occlusion and depth representation
- Hierarchical occlusion
- Coarse depth
- Queries on spatial hierarchy



## CPU Stalls GPU Starvation



Rx Render object x
Qx Query object x (Waiting time Cx Cull object x
Visibility Culling
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## HW Occlusion Queries

- ARB_occlusion_query, NV_occlusion_query
- Return \#pixels passing the depth test
- Feature which has been missing!
- Issues

1. Latency - the result not readily available
2. The query costs time

## Coherent Hierarchical Culling

- CHC [Bitt04]
- Interleave queries and rendering
- Schedule queries based on temporal coherence

visibility cullinglepend on parents





## Cells and Portals Example

## Cells and Portals - Example

- Adjacent cells DFG



Visibility Culling

Cells and Portals - Example Ef

- Cell A visible through portals E/D+D/A


Visibility Culling

Cells and Portals - Example

- $C$ not visible through portals $E / D+D / A+A / C$



## Visibility Preprocessing

- Preprocessing
- Subdivide view space into view cells
- Compute Potentially Visible Sets (PVS)
- Solves visibility "offline" for all possible view points
- Usage

1. Find the view cell (point location)
2. Render the associated PVS

Interiors - Cells and Portals

- Subdivide the scene into cells and portals
- Constrained DFS on the adjacency graph - Portal visibility test
- More complex than the online algorithm - We do not have a view point!


Visibility Preprocessing

- Other benefits
- Prefetching for out-of-core/network walkthroughs
- Communication in multi-user environments
- Problems
- Costly (treats all view points and view directions)
-4D domain (online methods only 2D)

Interiors - Cells and Portals

- Sampling [Airey90]
- Random rays
- Non-occluded ray -> terminate

+ Simple implementation
- Approximate solution

Visibility Culling

## Interiors - Cells and Portals

- Exact computation [Teller 92]
- Mapping to 5D (Plücker coordinates of lines)
- Portal edges $\rightarrow$ hyperplanes $H_{i}$ in 5D
- Halfspace intersection in 5D



## General Scenes - Strong Occlusion

- Occlusion by single object [CohenOr98]
- For each cell and object
- Cast rays defining convex hull of the cell and object
- If a convex occluder intersects all rays $\rightarrow$ invisible


## General Scenes <br> Occlusion Tree

- Extension of the 2D occlusion tree
-5D BSP tree
- Plücker coordinates of lines
- The tree represents union of all occluded rays for a given view cell


## General Scenes

知

## Occlusion Tree

- Process polygons in front-to-back order
- Polygon visible $\rightarrow$ enlarge tree by visible rays
- Polygon invisible $\rightarrow$ tree not modified


Havran and Bittner / Efficient Sorting and Searching in Rendering Algorithms


### 2.5D Scenes Occluder Shadows

- Conservative solution
- Shrinking occluder polygons
- Properties
+ Relatively easy implementation
+ Uses GPU
- Large view cells $\rightarrow$ more conservative solution
- Needs high resolution cull map


### 2.5D Scenes <br> Ray Space Factorization

- Main ideas [Leyvand et al. 2003]
- Occluder in 2.5D $\rightarrow$ 3D polygon in ray space
- Polygon shape: defined by 2D footprint
- Polygon depth: defined by heights


### 2.5D Scenes <br> Ray Space Factorization

- Render polygons using z-buffer
- Visible polygons in ray space $\rightarrow$ visible objects in primal space

- Properties
- Conservative solution
+ GPU implementation
Visibility Culing


### 2.5D Scenes <br> Occlusion Tree + Virtual Portals

- Occlusion tree for visibility in 2D footprint
- Identifies sequencies of occluders
- Construct virtual portals over the occluders
- Portal visibility test in 5D [Teller 92]



### 2.5D Scenes <br> Occlusion Tree + Virtual Portals

- Properties
+ exact solution for 2.5D scenes
+ computation time comparable with conservative methods
- difficult implementation


Havran and Bittner / Efficient Sorting and Searching in Rendering Algorithms


| Photon Maps and |  |
| :---: | :---: |
| Irradiance Caching |  |
| - Final gathering versus direct visualization |  |
| - Photon maps |  |
| - Irradiance caching |  |
| - Offline techniques |  |
|  |  |
| Photon maps and |  |



## Final Gathering

- Shooting many secondary rays (possibly according to BRDF), gathering radiances from the rays
- Integrating the radiances properly to render image

- Used for indirect diffuse illumination

Example of Direct Visualization


Direct Visualization

Photon Hits


- Do not shoot final gather rays, use directly visible photons from camera
- It is prone to artifacts on object boundaries referred to as bias

- Used for indirect specular illumination (caustics)

Photon Maps and Irradiance Caching

## Estimating Radiance along

 Final Gather Ray- Using the density estimation, from the photon hits estimating PDF
- It requires K nearest neighbor searching for each final gather ray
- The number of final gather rays (the number of searches) is enormous
- Typically we shoot 200-4000 final gather rays per pixel
- The number of pixels per image $1-6 \times 10^{6}$


## Example: Density Estimation in1D

Note: Importance Sampling: from given $p(x)$ to samples
Density Estimation: from samples to $p(x) \ldots$ more complicated
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## Intro to Density Estimation

- Histogram method - take hits into buckets
- Kernel density estimation
- K-Nearest neighbors estimator
- Variable kernel density estimator
- Multiple pass methods
- First pass - pilot estimate
- Second pass - final estimate


## Relation to Searching

- Range search - given a fixed range query (sphere, ellipsoid), find all the photons in the range
- K nearest neighbor search - given a center of the expanding shape $X$ (sphere, ellipsoid), find K nearest photons
- Without considering the direction of incoming photons
- With considering only valid photons with respect to the normal at point X


## Search Techniques

- Use any data structures described in the section "Hierarchical Data Structures"
- Typically kd-trees or kd-B-trees are used


Kd-tree


Kd-B-tree

## KD-tree Layout in Memory



## Practical yet Efficient Solution

- Use Kd-B-trees
- Construct a tree over an array of photons
- Use 8 Bytes nodes - good packing
- DFS or van Emde Boas Layout
- Sliding mid-point rule $=$ spatial median + shift to nearest photon if one side empty
- One leaf contains a range of 30-70 photons (two indices to photon array)
- Properties:
- fast construction time
- fast search (complexity proved to be optimal)

Photon Maps and Irradiance Caching


## Searching Tricks for k-NN Search

- Do not use uniform grids, they do not work efficiently for skewed distributions
- Try to avoid a priority queue
- Use a fixed radius search where a radius is estimated for given N
- Radius estimate can be based on the properties of the data structure over photons (diagonal of a leaf box) or taken from already computed query
- Use offline search if possible
- Try to change the role of input data to be queried and queries
hoton Maps and Irradiance Caching


## Reverse Photon Mapping


$r$ - ends of final gather rays (in black)
$p$ - photons (in red)

## Why Does It Work Faster?

Assume that the number of interactions among photons and final gather rays is the same!
Traditional Photon Mapping - a single tree

- Many searches $\left(\sim 10^{9}\right)$ in a small tree over photons $\left(\sim 10^{6}\right)$
- kNN search based on the photon density

Reverse Photon Mapping - more involved (two trees)

- Smaller number of searches $\left(\sim 10^{6}\right)$ in a larger tree over the ends of final gather rays ( $\sim$ up to $10^{9}$ )
- k-NN search is also based on the photon density

Properties

- Search in a tree is logarithmic, reverse photon mapping then faster
- Reverse photon mapping takes more memory

Photon Maps and Irradiance Caching

## Time Complexity Formulas

- F ... number of final gather rays
- K ... number of neighbors for kNN search
- V ... number of photons
- $F^{*}$ K ... number of interactions photon-final gather ray

Traditional Photon Mapping Time

$$
\text { C_PT }=C_{-} 1^{*} \text { F * K + C_2 * F * } \log V
$$

Reverse Photon Mapping Time

$$
\text { C_RPT }=\text { C_1 * F * K + C_2 *V * log F }
$$

For $\mathbf{F} \gg \mathbf{V}$ it is easy to show that $F * \log V>V * \log F$ Photon Maps and Irradiance Caching

Radiance and Irradiance Caching


Data Structures for Caching


- Red - data in the cache
- Black - queries

Photon Maps and Iradiance Caching

-

## Search Specification

## S

Records - the irradiance specified by a point and radius of influence

- Query: given a point, find all the sphere in which the point is contained
- Problem is intersection search
- Data structures should be dynamic insertion and deletion is possible

Data Flow + Data Structure View

Search Specification

- Records - the irradiance specified by a
point and radius of influence
- Query: given a point, find all the sphere in
which the point is contained
- Problem is intersection search
- Data structures should be dynamic -
insertion and deletion is possible
Pholon maps and rradiance Cacting

1) Using Octree (Ward et al. 88)


## 2) Using Mapping to $R^{\wedge} 4$

- A sphere (a,b,c,r) in $R^{3}$ as a point in $R^{4}$ ( $\mathrm{t} 1, \mathrm{t} 2, \mathrm{t} 3, \mathrm{t} 4$ ) by linearization:
(2.a, 2.b, 2.c, $a^{*} a+b * b+c^{*} c-r^{*} r$ )
- Query: a point ( $a, b, c$ ) in $R^{3}$ as a hyper-plane in $\mathrm{R}^{4}$ ( $\mathrm{t} 1, \mathrm{t} 2, \mathrm{t} 3, \mathrm{t} 4$ ) as follows:
H: a*t1+b*t2+c*t3-t4 -(a*a+b*b+c*c) > 0
- Compute half-space range reporting in $\mathrm{R}^{4}$ space, it requires a spatial data structure in $\mathrm{R}^{4}$
- Efficiency depends highly on
- Position of points with respect to the space origin
- Efficiency of half-space range reporting

Irradiance Caching Records




## Metrics for k-NN Search

- Distance on the tangent plane
- Distance to the ray segment
- Distance to the supporting line of the ray



## Ray Map Implementation

- Kd-tree
- Leaves store references to the rays
- Lazy construction driven by the queries
- Support efficient searching and updating


Ray Maps

## Construction

- Spatial median split
- Subdivide if \#rays > budget
- Classify rays back, front, both
- Termination criteria
- \#ray references per leaf (~32)
- size of the leaf ( $\sim 0.1 \%$ of the scene box)
- Max tree depth (~30)


## Maintenance

- Deleting a ray
- Ray cast and remove references
- Adding a ray
- Ray cast and subdivide if required
- Keeping memory budget
- Collapsing of unused subtrees nodes
- LRU strategy

Optimization 2
Directional Splits

- Queries are oriented
- Many rays in the opposite direction after reflection
- Optimization: inserting directional nodes

k-NN Search with Ray Maps
- $1 \mathrm{M}-2.5 \mathrm{M}$ rays
- Typical memory usage: $16-128 \mathrm{MB}$
- Query time: $0.2-1.5 \mathrm{~ms}$ (3.2GHz PC)
- 2.1 to 4.7 times slower than photon maps



## Similar Data Structures

- Ray cache [Lastra02]
- Hierarchy of spheres
- Volumetric ray density estimation [VanHaevre04]
- Octree
-Simulation of plant growth


## Ray Maps in Line Space

- Idea
-Ray $\rightarrow$ 5D point (Plücker coordinates)
- Query $\rightarrow$ 5D polyhedron
- Report all points in the polyhedron
- Use 5D kD-tree to sort points
- Poor performance
- Culling only at very bottom of the tree
$-5 D$ boxes not separate well from the query polyhedron


## Ray Maps - Summary

- Sorting rays + efficient searching
- Kd-trees implementation
- Simple implementation
- Efficient memory usage control
- About 2-5x slower than photon maps
- Density estimation
- New query domains + new metrics
- Elimination of boundary bias
-Reduction of topological bias

| Other Algorithms |  |
| :---: | :---: |
| Vlastimil Havran |  |
| Czech Technical University in Prague |  |

## Other Algorithms

- Importance sampling
- Hierarchies over light sources
- Extensions to ray tracing
- Some other techniques

Note: this list on sorting and searching in rendering is definitely not complete !

Importance Sampling


## Importance Sampling Transforms

- Results: samples on the hemisphere (2D domain)
- Usage: for BRDF and environment maps
- Realization: using four mappings
- Properties: bijectivity, continuity in both directions, low distortion
- Complexity of sampling: $\mathrm{O}\left((\log \mathrm{N}){ }^{*}(\log \mathrm{M})\right)$


Other Algorithms Havran et al. 03c: Goniometric Diagram Mapping for Hemisphere

## Hierarchies over Light Sources

- Another hierarchy (=sorting) if number of light sources is high, approximating or discarding less important light sources
- Papers:
- Ward92: Adaptive Shadow Testing, discard less important contributions, avoid shadow rays testing
- Lazanyi and Szirmay-Kalos 04: Speeding up the Virtual Light Sources Algorithm
- Paquette et al. 98: A Light Hierarchy for Fast Rendering of Scenes with Many Lights
- Walter et a. 05: Lightcuts: a scalable approach to illumination
- Walter et al. 06: Multidimensional lightcuts Other Algorithms


## Extensions to Ray Tracing

## 5

- Spatio-temporal domain
- Continuous setting (Glassner 88)
- Multiframe ray tracing (discrete time setting) (Havran et al. 03b)
- Reprojection for walkthroughs (Havran et al. 03a)
- Approximate ray tracing
- Szirmay-Kalos et al.: Approximate Ray-Tracing on the GPU with Distance Impostors (2005)
- Fast construction or update for animations
- Several algorithms proposed in 2005, not yet resolved issue

[^3]
## Some Other Techniques

- Temporal Photon Mapping and Spatio-Temporal Density Estimation
- Cammarano and Jensen 02: Time Dependent Photon Mapping
- Weber et al. 2004: Spatio-Temporal Photon Density Estimation Using Bilateral Filtering
- Reordering the queries for photon mapping
- Havran et al. 05: Reverse Photon Mapping
- Steinhurst et al. 05: Reordering for Cache Conscious Photon Mapping
- Changing the role of queries and input data to be queried
- Havran et al. 05: Reverse Photon Mapping (here in the slides)
- Laine and Aila 05: Hierarchical Penumbra Casting


## Content

## Part One

- Introduction to Rendering
- Sorting and Searching
- Hierarchical Data Structures
- Ray Shooting
- Questions and Answers

Questions and Answers for

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[^0]:    Ray Shooting

[^1]:    Ray Shooting

[^2]:    Hidden Surface Remova

[^3]:    Other Algorithms

